

(19)



Europäisches Patentamt

European Patent Office

Office européen des brevets



(11)

EP 1 367 418 A1

(12)

EUROPEAN PATENT APPLICATION

(43) Date of publication:

03.12.2003 Bulletin 2003/49

(51) Int Cl. 7: G02B 6/34

(21) Application number: 02360158.6

(22) Date of filing: 30.05.2002

(84) Designated Contracting States:

AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU
MC NL PT SE TR

Designated Extension States:

AL LT LV MK RO SI

(71) Applicant: ALCATEL

75008 Paris (FR)

• Münzner, Roland, Dr.

73266 Bissingen/Teck (DE)

(74) Representative: Rausch, Gabriele, Dr. et al

Alcatel

Intellectual Property Department, Stuttgart
70430 Stuttgart (DE)

Remarks:

Geänderte Patentansprüche gemäss Regel 86 (2)
EPÜ.

(54) Athermal arrayed waveguide grating

(57) The invention is an optical device having a plurality of optical waveguides and comprising at least one waveguide, which is defined by a core region having first and second sections that are series connected and are capable of transmitting light energy, the core region being surrounded by a cladding that essentially confines the light energy within the core region, the first section of the core region comprising a first length of material whose refractive index increases as temperature increases; The at least one waveguide is temperature-

compensating in that and the second section of the core region comprises a second length of material whose refractive index has a sufficient enough difference of the rate of the variation of the index with the temperature, wherein the second section has a plurality of grooves, filled with the material whose refractive index has a sufficient enough difference of the rate of the variation of the index with the temperature, that are designed in a way the effective width of the second section changes between adjacent wave guides.

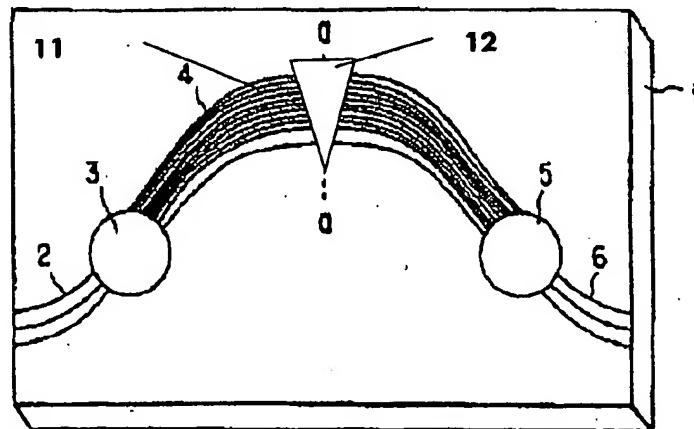


Fig. 2

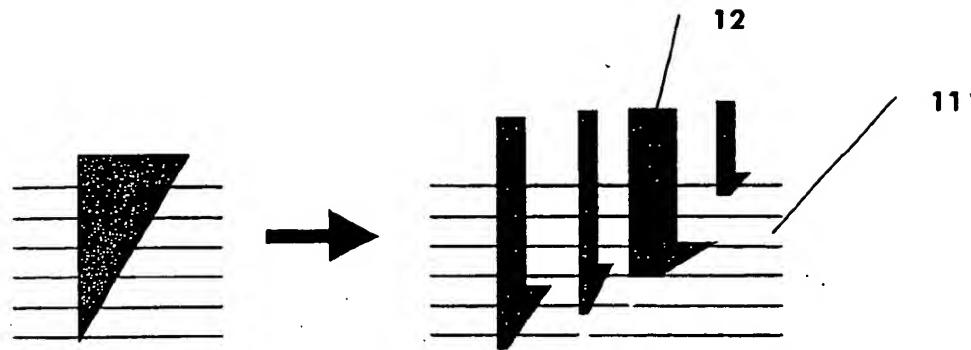


Fig. 3

Description**BACKGROUND OF THE INVENTION**

[0001] Generally speaking, optical wavelength multiplexing and demultiplexing have been accomplished in the past by using an interconnection apparatus having a plurality of closely spaced input waveguides communicating with the input of a star coupler. The output of the star coupler communicates with an optical grating comprising a series of optical waveguides, each of the waveguides differing in length with respect to its nearest neighbor by a predetermined amount. The grating is connected to the input of a second star coupler, the outputs of which form the outputs of the switching, multiplexing, and demultiplexing apparatus.

[0002] The geometry of such an interconnection apparatus may be such that a plurality of separate and distinct wavelengths each launched into a separate and distinct input port of the apparatus will all combine and appear on a predetermined one of the output ports. In this manner, the apparatus performs a multiplexing function. The same apparatus may also perform a demultiplexing function. In this situation, an input wavelength is separated from the others and directed to a predetermined one of the output ports of the apparatus. An appropriate selection of input wavelength also permits switching between any selected input port to any selected output port. Accordingly, these devices are generally referred to as frequency routing devices and more specifically wavelength division multiplexers (WDM).

[0003] Ideally, the operation of these AWG and WDM optical devices should be predictable and consistent over a wide range of environmental conditions. Unfortunately however, in practice, the operational performance of such devices is significantly affected by variations in the temperature of the environment surrounding the device.

[0004] More specifically, the wavelength spectrum of existing AWG designs shifts with temperature (T) for at least two reasons. First, where n represents the refractive index of the waveguide material, $dn/dT \neq 0$ and secondly, the thermal expansion, i.e. dL/dT , where L represents length, likewise does not equal zero.

[0005] To date, some of the techniques used to create optical devices that are less sensitive to temperature changes have included using a heater with a temperature controller to stabilize the wavelength spectrum of the AWG. Unfortunately, such a design is expensive and impractical in applications where electrical power is not readily available. In addition, the semiconductor art has demonstrated a temperature insensitive semiconductor WGR that includes a waveguide region with different dn/dT .

[0006] However, even in light of the technical advances mentioned above, there remains a definite need for a practical design and method for making optical

waveguide filtering devices temperature independent. This is particularly true for compensating waveguide grating routers, which are, at present, the multiplexers of choice for dense WDM systems. Furthermore, given

5 that silicon optical bench routers are now components of various Next Generation Lightwave Networks (NGLN) and are planned for use in Fiber-to-the-Home (FTTH) access networks, temperature-compensating optical devices like AWGs are highly desirable for these networks.

10 In the US 6 137 939 a design is proposed where a portion of the length of at least one waveguide within the optical device is modified in a way that stabilizes the wavelength spectrum passing therethrough. The solution is to fill an elastomer material in either several blocks incorporated in the selected waveguides or to incorporate a wedge filled with the said elastomer material.

15 **[0007]** Also known are several designs of wedges from US 6304 687. All this proposed designs allow to adapt the WGR to different temperature with an acceptable insertion loss. But the known structures introduce a cross talk penalty in the WGR which disturbed the channels and mismatches the WGR for the several uses.

20 To reduce the effects of cross talking between the waveguides it is known to use a design which separates the channels by implementing several separated wedges of same width of the material into the waveguides which has opposite temperature coefficient to the silica waveguide. The use of this wedges with different lengths but same width do not suppress the cross talk effects in a sufficient way.

35 SUMMARY OF THE INVENTION

[0008] The present invention relates to a temperature-compensating optical device with a design that allows the reduction of cross talk effect between adjacent waveguides.

[0009] A waveguide has a core region which is capable of transmitting light energy and is surrounded by a cladding that essentially confines the light energy within the core region. Additionally, a first section of the core region comprises a first length of material whose refractive index increases as temperature increases. In accordance with the present invention, a second section of the core region comprising a second length of material whose refractive index decreases as temperature increases.

[0010] In an illustrative embodiment of the present invention, an optical component having a plurality of waveguides includes at least one of the temperature-compensating waveguides in order to maintain its performance capabilities essentially independent of temperature. In a more specific illustrative embodiment, an optical device, such as a AWG, includes a first free space region having

at least one input waveguide and a second free space region having at least one output waveguide. Additionally, a plurality of unequal length waveguides connects the first free space region to the second free space region, wherein at least one of the unequal length waveguides is defined by a core region having first and second sections that are series connected and are capable of transmitting light energy, the core region being surrounded by a cladding that essentially confines the light energy within the core region, the first section of the core region comprising a first length of material whose refractive index increases as temperature increases. Most importantly, at least one of the unequal length waveguides is temperature-compensating in that the second section comprises a second length of material whose refractive index decreases as temperature increases or differs enough from the coefficient variation of the waveguide. A special design of the two said section allows the optimization of either cross talk and insertion losses.

[0011] The invention proposes a design with a plurality of grooves in the second section of core region where the grooves of a first embodiment differs from each other by their widths. The widths are designed in a pseudo-randomized way, so that no relation between the widths of adjacent wedges occurs. This allows an effective reduction of cross talk effect. In a further embodiment of the invention a plurality of grooves are formed as second section of the core region. The plurality of grooves allows the fine adaptation of the temperature behavior of the optical device or component. The plurality of wedges also allows to decrease the cross talk effects.

(I would prefer to say just "to decrease the cross talk" because there are cross-talk between adjacent and also non-adjacent waveguides.)

Preferably the design to use several wedges parallel to each others has a advantage reduction of insertion loss penalty.

Another preferred embodiment shows a plurality of trapezoids. The best results are obtained while following special design rules for the trapezoids.

BRIEF DESCRIPTION OF THE DRAWINGS

[0012] Embodiments of the inventions are shown in the figures and described in details in the description below.

Fig. 1 shows a WGR device without temperature compensation

Fig. 2 shows a WGR device according prior art

Fig. 3 shows a first embodiment of the invention

Fig. 4 shows a second embodiment.

Fig. 5 shows a third embodiment of the invention.

[0013] Fig. 1 shows a circuit diagram of a conventional arrayed waveguide grating multiplexer. The input waveguides 2 are connected to a first slab waveguide

3 and via an Fig. 2 shows an arrayed waveguide with two sections: a first arrayed waveguide 4 and a second slab waveguide 5 to the output waveguides 6.

[0014] Fig. 2 shows a athermal arrayed waveguide as described in prior art. The arrayed waveguide 4 comprises two sections with a first section made by silica and a second section made of an elastomeric material with a negative thermal coefficient. In order that optical phases in two adjacent waveguides are varied with respect to temperature change it is necessary that the difference of optical path lengths of the two adjacent waveguides are not varied with respect to temperature. When the temperature coefficient of the refractive index of the first section of the waveguide and the temperature coefficient of the second section of the waveguide have different values a broad groove is formed in a long waveguide 4 and a small groove in a short waveguide. As the arrayed waveguide grating multiplexer needs to satisfy the condition between each adjacent pair of waveguides 5 grooves which are sequentially elongated at a constant length provided in accordance with the waveguides.

Using another material for filling the grooves with a thermal coefficient which is not negative but differs in the variation of temperature from the material of the waveguide, the triangle structure must be rotated about the center by 180°.

[0015] The grooves does not have a waveguide structure and therefore optical strength distribution is spread due to diffraction a loss is generated. Therefore the width of the groove is smaller the loss is smaller. Also cross talk effects increase.

[0016] Examples for temperature compensating materials are aromatic compounds such as benzene and toluene, cyclic hydrocarbon compounds, linear hydro compounds, chloride, sulfide and ketone and a huge number of polymeric material.

[0017] Fig. 3 shows a temperature independent structure of a waveguide device. The wedge of the prior art is dissolved in a group of structures with pseudo randomized widths W. The widths are designed to minimize loss and cross talk. Adjacent wedges structures have different widths without correction between the widths. The lengths of the wedges are different from each other.

[0018] As a result the optical phase to two adjacent waveguide see different length of the material filled in the grooves.

[0018] The solution according Fig. 3 corresponds to a pseudo-randomization of the W parameter. The motivation is to distribute the energy of the side-lobes produced by the slot structure along a wider range of wavelengths in order to dismiss their impact on the crosstalk. Simulation has been done to check the result of pseudo randomization of the width W.

In order to investigate this design, two pseudo-randomised structures have been considered. The minimum slot width is restricted by technology to 10 µm Due to that, a variation of the W parameter has to be done towards higher W parameter.

[0019] The first structure uses slots with alternative widths of 15 and 20 μm with good results.

Another design structure implements alternative slots with 15, 17.5 and 20 μm minimum width.

[0020] Fig. 4 shows another embodiment of the invention. The wedges have the same length and they are arranged parallel to each other. This design also solves one triangular wedge in a plurality of wedges. In this approach a new segmentation of the polymer wedge is proposed. The original idea consist in a set of triangles as shown in fig 4.

Nevertheless, a minimum slot width is imposed by technology. Due to that, the original polymer wedge must be transform in a trapezoidal figure, so that the segmentation can also be done in trapezoidal shape slots, according fig 5.

[0021] As a first case, a structure with trapezoidal slots with minimum and maximum width of 15 μm and 30 μm have been considered. It means that the whole polymer trench is also a trapezoid with $b = a$ (a is determined by the TOC of the polymer and the ΔL of the AWG design. For the sample used for the study the two values are $\text{TOC} = -4 \times 10^{-4}$ and $a = 181 \mu\text{m}$.) The invention is not restricted to the "hard" definition of the trapezoid above with the values of these examples.

[0022] A second structure has been investigated with minimum and maximum width of the slots of 15 μm and 22.5 μm . It means that for this case, $b = 2 \times a$. This two examples have different insertion losses and different cross talk reduction strengths. The design should be by using a trapezoid structure be adapted to the materials used and the technology for manufacturing the optical component.

[0023] Because the slots cross the whole AWG, the amplitude and phase distortion produce by this structure does not present the saw structure of figure 4.

[0024] There is no termination of the slots in the middle of the array of waveguides. The trapezoidal fragmentation imposes no restriction about a minimum distance between the waveguides of the arrayed as the standard structure.

[0025] A further improvement to dismiss the back reflection can be done by changing the angle between the waveguide and the slot from 90° to ~86°.

[0026] A method for producing the proposed designed waveguide of the invention will be described below:

Step 1: A quartz glass is accumulated on the Si substrate by flame-accumulating process, and is transparentized in an electric furnace.

Step 2: Then, a core glass in which Ge is added is accumulated on the glass film, which is accumulated in step 1 by flame-accumulating process, and is transparentized in the electric furnace.

Step 3: Then, the core glass is patterned by photo-

lithography and reactive etching.

Step 4: Lastly, the quartz glass is accumulated, and is transparentized.

[0027] With this series of operations, an embedded quartz waveguide having a small propagation loss is produced.

[0028] After the waveguide is produced the shaped designed grooves 12 were formed in the straight waveguide portion 11 by photolithography and reactive etching. Each of these grooves 12 were formed by rotating a sample little by little and lap-cutting the grooves by the dicing saw. The grooves were formed according to the used design. A depth of the groove was ~50 μm . Lastly, a silicone resin was dropped into the grooves 12, heated and cured. The optical path length temperature coefficient of this silicone resin was about -40 times of that of a quartz waveguide, and was -4×10^{-4} .

20

Claims

1. An optical device having a plurality of optical waveguides and comprising at least one waveguide, which is defined by a core region having first and second sections that are series connected and are capable of transmitting light energy, the core region being surrounded by a cladding that essentially confines the light energy within the core region, the first section of the core region comprising a first length of material whose refractive index increases as temperature increases; wherein at least one waveguide is temperature-compensating in that and the second section of the core region comprises a second length of material whose refractive index has a sufficient enough difference of the rate of the variation of the index with the temperature, wherein the second section has a plurality of grooves, filled with the material whose refractive index has a sufficient enough difference of the rate of the variation of the index with the temperature, that are designed in a way the effective width of the second section changes between adjacent wave guides and where the grooves of the second section has the shape of triangles over the whole length.
2. Optical device according claim1 where the second material has a temperature coefficient that decreases when the temperature increases.
3. Optical device according claim1 where second material has a temperature coefficient which increases but with a rate of variation different from the temperature coefficient of the first material.
4. Optical device according claim1 where the grooves of the second section have different pseudo ran-

omize widths (W) uniform along the lengths.

5. Optical device according claim1 where the grooves of the second section have non uniform widths (W) over the waveguides but the same lengths. 5
6. Optical device according claim1 where the grooves of the second section has the shape of trapezoids created by a triangle with one side length a and with attached rectangular shape with one side length b. 10
7. Optical device according claim 6 where the side lengths of the trapezoids follows the rule a=b.
8. Optical device according claim 6 where the side lengths of the trapezoids follows the rule b=2a. 15
9. Optical device according claim1 wherein the first section of the core region is made of silica.

20

25

30

35

40

45

50

55

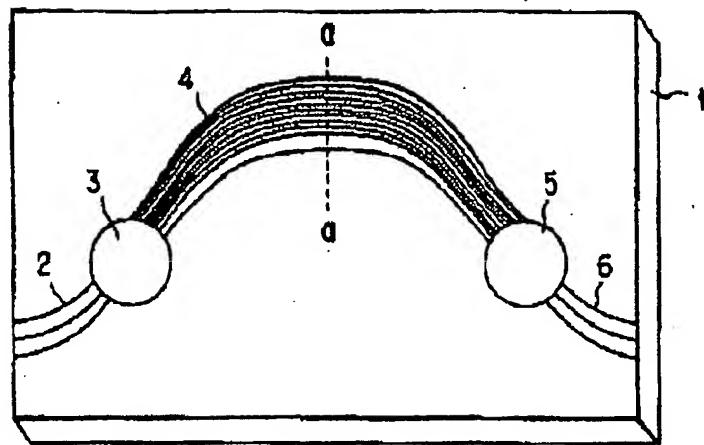


Fig. 1

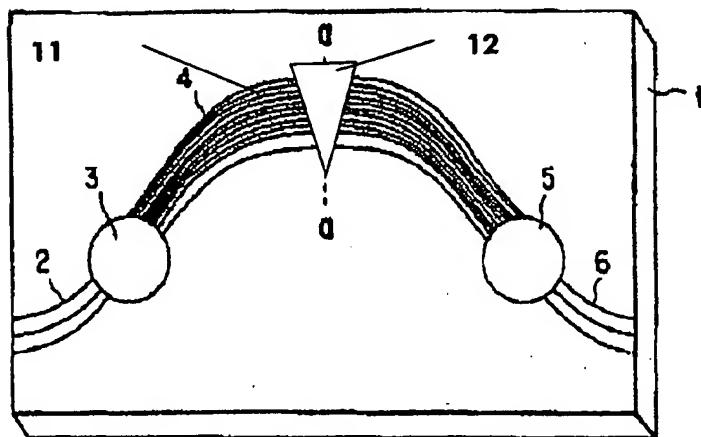


Fig. 2

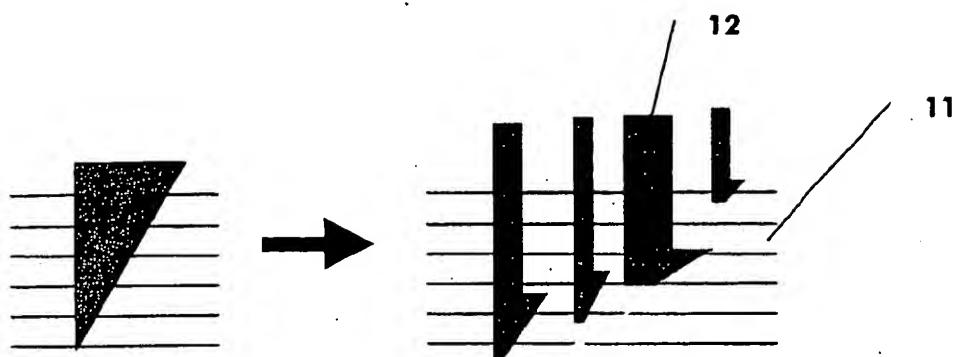


Fig. 3

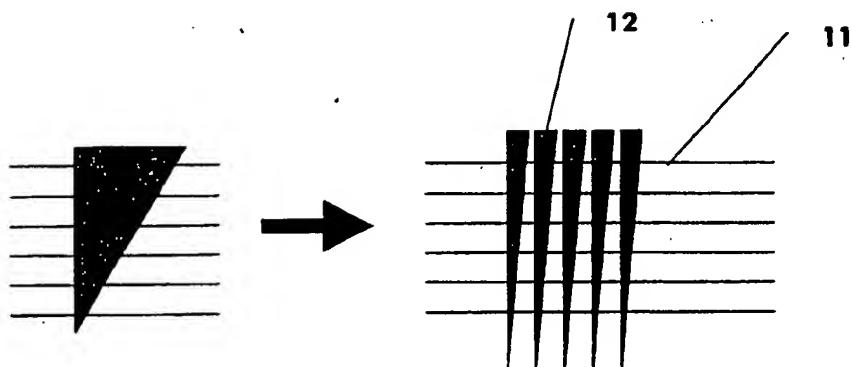


Fig. 4

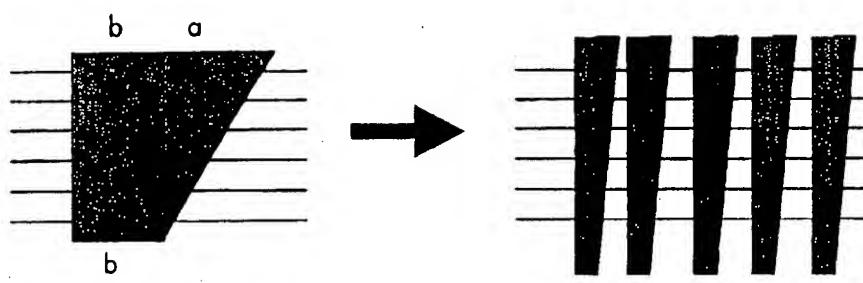


Fig. 5



European Patent
Office

EUROPEAN SEARCH REPORT

Application Number

EP 02 36 0158

DOCUMENTS CONSIDERED TO BE RELEVANT									
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)						
X	KANEKO A ET AL: "Athermal silica-based arrayed-waveguide grating (AWG) multi/demultiplexers with new low loss groove design" ELECTRONICS LETTERS, IEE STEVENAGE, GB, vol. 36, no. 4, 17 February 2000 (2000-02-17), pages 318-319, XP006014828 ISSN: 0013-5194 * the whole document *	1-11	G02B6/34						
X	EP 0 919 840 A (NIPPON TELEGRAPH & TELEPHONE ; NTT ELECTRONICS CORP (JP)) 2 June 1999 (1999-06-02) * figure 8 *	1,5-11							
X	KANEKO A ET AL: "Athermal silica-based arrayed-waveguide grating (AWG) multiplexers with new low loss groove design" OFC/IOOC'99. OPTICAL FIBER COMMUNICATION CONFERENCE AND THE INTERNATIONAL CONFERENCE ON INTEGRATED OPTICS AND OPTICAL FIBER COMMUNICATIONS (CAT. NO.99CH36322), OFC/IOOC'99. OPTICAL FIBER COMMUNICATION CONFERENCE AND THE INTERNATIONAL CONFERENCE ON IN, pages 204-206 vol.1, XP000966866 1999, Piscataway, NJ, USA, IEEE, USA * the whole document *	1-11	TECHNICAL FIELDS SEARCHED (Int.Cl.7) G02B						
X	WO 02 16981 A (CORNING INC) 28 February 2002 (2002-02-28) * abstract * * page 2, line 11 * * page 9, line 3 - line 5 *	1,2,6,7, 10,11 -/-							
<p>The present search report has been drawn up for all claims</p> <table border="1"> <tr> <th>Place of search</th> <th>Date of completion of the search</th> <th>Examiner</th> </tr> <tr> <td>THE HAGUE</td> <td>18 October 2002</td> <td>Verbandt, Y</td> </tr> </table>				Place of search	Date of completion of the search	Examiner	THE HAGUE	18 October 2002	Verbandt, Y
Place of search	Date of completion of the search	Examiner							
THE HAGUE	18 October 2002	Verbandt, Y							
CATEGORY OF CITED DOCUMENTS		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document							
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document									



European Patent
Office

EUROPEAN SEARCH REPORT

Application Number

EP 02 36 0158

DOCUMENTS CONSIDERED TO BE RELEVANT												
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)									
A	INOUE Y ET AL: "ATHERMAL SILICA-BASED ARRAYED-WAVEGUIDE GRATING (AWG) MULTIPLEXER" IOOC-ECOC. EUROPEAN CONFERENCE ON OPTICAL COMMUNICATION ECOC. INTERNATIONAL CONFERENCE ON INTEGRATED OPTICS AND OPTICAL FIBRE COMMUNICATION IOOC, XX, XX, no. 448, 22 September 1997 (1997-09-22), pages 33-36, XP002058688 * the whole document *	7-9										
A	KOKUBUN Y: "Athermal waveguides and temperature insensitive lightwave devices" LASERS AND ELECTRO-OPTICS, 1999. CLEO/PACIFIC RIM '99. THE PACIFIC RIM CONFERENCE ON SEOUL, SOUTH KOREA 30 AUG.-3 SEPT. 1999, PISCATAWAY, NJ, USA, IEEE, US, 30 August 1999 (1999-08-30), pages 1143-1144, XP010364307 ISBN: 0-7803-5661-6 * the whole document *	3										
A	EP 1 072 908 A (FURUKAWA ELECTRIC CO LTD) 31 January 2001 (2001-01-31)											
A	GB 2 360 098 A (KYMATA LTD) 12 September 2001 (2001-09-12)											
A	US 6 256 442 B1 (TROUCHET DENIS M ET AL) 3 July 2001 (2001-07-03)	-/-										
<p>The present search report has been drawn up for all claims</p> <table border="1"> <tr> <td>Place of search THE HAGUE</td> <td>Date of completion of the search 18 October 2002</td> <td>Examiner Verbandt, Y</td> </tr> <tr> <td colspan="2">CATEGORY OF CITED DOCUMENTS</td> <td>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application I : document cited for other reasons B : member of the same patent family, corresponding document</td> </tr> <tr> <td colspan="2"> X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document </td> <td></td> </tr> </table>				Place of search THE HAGUE	Date of completion of the search 18 October 2002	Examiner Verbandt, Y	CATEGORY OF CITED DOCUMENTS		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application I : document cited for other reasons B : member of the same patent family, corresponding document	X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document		
Place of search THE HAGUE	Date of completion of the search 18 October 2002	Examiner Verbandt, Y										
CATEGORY OF CITED DOCUMENTS		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application I : document cited for other reasons B : member of the same patent family, corresponding document										
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document												



European Patent
Office

EUROPEAN SEARCH REPORT

Application Number

EP 02 36 0158

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
A	<p>TANOBE H ET AL: "Temperature insensitive arrayed waveguide gratings on InP substrates" IEEE PHOTONICS TECHNOLOGY LETTERS, FEB. 1998, IEEE, USA, vol. 10, no. 2, pages 235-237, XP000199330 ISSN: 1041-1135 * the whole document *</p> <p>-----</p>	3	
			TECHNICAL FIELDS SEARCHED (Int.Cl.7)
<p>The present search report has been drawn up for all claims</p>			
Place of search	Date of completion of the search	Examiner	
THE HAGUE	18 October 2002	Verbandt, Y	
CATEGORY OF CITED DOCUMENTS			
<p>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document</p>		<p>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document</p>	

ANNEX TO THE EUROPEAN SEARCH REPORT
ON EUROPEAN PATENT APPLICATION NO.

EP 02 36 0158

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

18-10-2002

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
EP 0919840	A	02-06-1999	EP	0919840 A1	02-06-1999
			US	6304687 B1	16-10-2001
			CA	2251754 A1	20-08-1998
			WO	9836299 A1	20-08-1998
WO 0216981	A	28-02-2002	AU	3554702 A	04-03-2002
			WO	0216981 A1	28-02-2002
EP 1072908	A	31-01-2001	JP	2000206348 A	28-07-2000
			EP	1072908 A1	31-01-2001
			US	6377723 B1	23-04-2002
			WO	0042457 A1	20-07-2000
GB 2360098	A	12-09-2001	WO	0167148 A1	13-09-2001
US 6256442	B1	03-07-2001		NONE	

EPO FORM P0456

For more details about this annex : see Official Journal of the European Patent Office, No. 12/82